

DOCKET NO.: 19603/3810 (CRF D-2693) EXPRESS MAIL NO.: EL801320538US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM (only for new nonprovisional applications under 37 CFR 1.53(b)

(<u>only</u> for new nonprovisional applications under 37 CF.
COMMISSIONER FOR PATENTS

Washington, D.C. 20231 **BOX: PATENT APPLICATION**

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 19 pages) of:

Inventor : Paul H. Steen

: A SYSTEM AND METHOD FOR CONTINUOUS CASTING OF A MOLTEN MATERIAL

**If info	a CONTINUING APPLICATION, please mark where appropriate and supply the requisite mation below and in a preliminary amendment:		
	Continuation [] Divisional [] Continuation-In-Part (CIP) prior application Serial No.		
Pri	or application information: Examiner : Art Unit :		
Encl	osed are:		
[X]	5 sheets of informal drawings.		
[X]	Signed Combined Declaration and Power of Attorney (2 pages).		
[]	Copy of signed Combined Declaration and Power of Attorney (pages) from a prior application (1.63(d) (for continuation/divisional).		
[]	Signed statement deleting inventor(s) named in prior application (pages) (1.63(d)(2) and 1.33(b)).		
[]	Incorporation By Reference : The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.		
[X]	Assignment (1 page) of the invention to Cornell Research Foundation, Inc.		
[X]	Assignment Transmittal Letter.		
[]	Certified copy of a foreign priority document.		
ſΊ	Associate power of attorney		

[X] Applicant claims small entity status. (See 37 CFR 1.27.)

[]	Preliminary Amendment (pages).
[X]	Information Disclosure Statement, form PTO-1449 (1 page) and 1 references.
[]	<u>UNSIGNED</u> Combined Declaration and Power of Attorney (pages).
[]	Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.

[X] A self-addressed, prepaid postcard acknowledging receipt.

Other:

The Filing fee has been calculated as shown below:

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TOTAL CLAIMS	41 - 20 =	21		
INDEP CLAIMS	4 - 3 =	1		
MULTIPLE DEPENDENT CLAIM PRESENTED				

^{*}If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

SMALL	ENTIT	3
	1	_

FEE
\$370
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\$ 42
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LARGE ENTITY

<u>OR</u>	RATE	FEE
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<u>OR</u>	x 18 =	\$
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- The Commissioner is hereby authorized to charge any additional fees which may be required, or [X]credit any overpayment to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.
- [X] Address all future communications to:

Gunnar G. Leinberg, Esq. NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603

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DOCKET NO. : 19603/3810 (CRF D-2693)

APPLICANT(S) : Paul H. Steen

TITLE : A SYSTEM AND METHOD FOR CONTINUOUS CASTING OF

A MOLTEN MATERIAL

Certificate is attached to the **Utility Patent Application Transmittal Letter** (2 pages) in duplicate of the above-named application.

"EXPRESS MAIL" NUMBER: EL801320538US
DATE OF DEPOSIT: February 8, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, **Box Patent Application**.

Sherri A. Moscato
(Typed or printed name of person mailing paper or fee)

DOCKET NO. : 19603/3810 (CRF D-2693)

APPLICANT(S) : Paul H. Steen

TITLE : A SYSTEM AND METHOD FOR CONTINUOUS CASTING OF

A MOLTEN MATERIAL

Certificate is attached to the Assignment Transmittal Letter (2 pages) and Assignment Form (1 page) of the above-named application.

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APPLICANT(S): Paul H. Steen

TITLE : A SYSTEM AND METHOD FOR CONTINUOUS CASTING OF

A MOLTEN MATERIAL

Certificate is attached to the Utility Patent Application Which Includes Specification, Claims, and Abstract (19 pages) and Signed Combined Declaration and Power of Attorney (2 pages) of the above-named application.

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APPLICANT(S) :

Paul H. Steen

TITLE

A SYSTEM AND METHOD FOR CONTINUOUS CASTING OF

A MOLTEN MATERIAL

Certificate is attached to the **Informal Drawings (5 pages)** of the above-named application.

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